

General information about company

Scrip code	539515
NSE Symbol	
MSEI Symbol	
ISIN	INE641R01017
Name of the company	Gaurav Mercantiles Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	Yes	Yes	Yes	No
3	Whether the Listed Entity has issued any Warrants ?	Yes	Yes	Yes	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	3	1328300			1328300	66.42	1328300		1328300	66.42
(B)	Public	1308	671700			671700	33.59	671700		671700	33.59
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1311	2000000			2000000	100	2000000		2000000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	1400000	10000000	11400000	68.8					1328300
(B)	Public	600000	4500000	5100000	31.2					519260
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total	2000000	14500000	16500000	100					1847560

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	1328300			1328300	66.42	1328300		1328300	66.42
(d)	Any Other (specify)	0	0			0	0	0		0	0
Sub-Total (A) (1)		3	1328300			1328300	66.42	1328300		1328300	66.42
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		3	1328300			1328300	66.42	1328300		1328300	66.42
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1284	236001			236001	11.8	236001		236001	11.8

(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	126043			126043	6.3	126043		126043	6.3
(e)	Any Other (specify)	21	309656			309656	15.48	309656		309656	15.48
Sub-Total (B) (3)		1308	671700			671700	33.59	671700		671700	33.59
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1308	671700			671700	33.59	671700		671700	33.59
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1311	2000000			2000000	100	2000000		2000000	100
Total (A+B+C)		1311	2000000			2000000	100	2000000		2000000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)	1400000	10000000	11400000	68.8					1328300
(d)	0	0	0	0					0
Sub-Total (A) (1)	1400000	10000000	11400000	68.8					1328300
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	1400000	10000000	11400000	68.8					1328300
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))	0	0	0	1.28					83561
(a(ii))	0	0	0	0.68					126043
(e)	600000	4500000	5100000	29.24					309656

Sub-Total (B) (3)	600000	4500000	5100000	31.2					519260
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)	600000	4500000	5100000	31.2					519260
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)	2000000	14500000	16500000	100					1847560
Total (A+B+C)	2000000	14500000	16500000	100					1847560
Disclosure of notes on shareholding pattern								Textual Information(1)	
Disclosure of notes on shareholding pattern for company remarks explanatory								Textual Information(1)	

Text Block

Textual Information()

The Company had issued Compulsorily Convertible Preference Shares and Equity Warrants on May 25, 2019 wherein the Relevant Date was April 12, 2019. As per Regulation 167 of Securities and Exchange Board of India (Issue of Capital and Disclosure Requirement) Regulations, 2018, in case of issuance of convertible securities or warrants which are not listed on stock exchanges, the entire pre-preferential allotment shareholding of allottees, if any, shall be locked in from the relevant date up to the period of 6 months from the date of allotment of such securities. In compliance with above, the equity shareholding of Mr. Raghav Bahl and Ms. Ritu Kapur prior to abovementioned preferential allotment were locked in for the period of 6 months from the Relevant date i.e from April 12, 2019 to October 11, 2019.

Textual Information()

Comapny had allotted 20,00,000 Compulsorily Convertible Preference Shares and 1,45,00,000 Warrants to the Promoter/Prmoter Group and Identified Investors in its meeting held on May 25, 2019. These CCPS and Warrants are subject to lock in as per SEBI (ICDR) Regulations. We have allotted 2,00,000 CCPS and 15,00,000 Warrants to Vespera Fund Limited. It is a Foreign Portfolio Investor- Category II. Details of Vespera Fund Limited are given in Non-Institutions under Any other(specify) category,because in FPI Column it was not accepting the number of fully paid up shares as ZERO, thats why this is being disclosed here. Vespera is not holding any equity shares in the company. The Board in its meeting held on June 29, 2020 considered and approved the Conversion of Compulsorily Convertible Preferece Shares ("CCPS") into Equity Shares on 1:1 basis. The Disclosure of the same was made to BSE on June 29, 2020. Accordingly, the Company had fixed July 9, 2020 as the Record Date for the issue of the Equity Shares pursuant to conversion of the CCPS.

Individuals/Hindu undivided Family

Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	RAGHAV BAHL	RITU KAPUR	GULAB DEVI BOHRA	NIKHIL BOHRA	PRATAP SINGH BOHRA	TARUN BOHRA	VIVEK BOHRA
PAN (II)	AALPB0480G	AHQPK4186N	AAJPB9872A	AEOPB7030D	AABPB9066A	AGBPB2499P	AEMPB7658H
No. of fully paid up equity shares held (IV)	1120900	207400	0	0	0	0	0
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1120900	207400	0	0	0	0	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	56.05	10.37	0	0	0	0	0
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	1120900	207400	0	0	0	0	0
Class eg:y							
Total	1120900	207400	0	0	0	0	0
Total as a % of Total Voting rights	56.05	10.37	0	0	0	0	0
No. Of Shares	1181405	218595	0	0	0	0	0

Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)	7659596	1417254	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	8841001	1635849	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	53.85	9.96	0	0	0	0	0
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1120900	207400	0	0	0	0	0
Reason for not providing PAN							

Reason for not providing PAN							
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

Individuals/Hindu undivided Family

Searial No.	8	
Name of the Shareholders (I)	MOHAN LAL JAIN	Click here to go back
PAN (II)	AAGPJ8244F	Total
No. of fully paid up equity shares held (IV)	0	1328300
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	0	1328300
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	66.42
Number of Voting Rights held in each class of securities (IX)		
Class eg:X	0	1328300
Class eg:y		
Total	0	1328300
Total as a % of Total Voting rights	0	66.42
No. Of Shares Underlying Outstanding	0	1400000

convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	923150	10000000
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	923150	11400000
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	4.99	68.8
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	1328300
Reason for not providing PAN		
Reason for not providing PAN		

Shareholder type	Promoter Group	
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Any Other (specify)

Searial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	BOHRA EXPORTS PVT. LTD	
PAN (II)	AAACB1520D	Total
No. of the Shareholders (I)	0	0
No. of fully paid up equity shares held (IV)	0	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	0	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	0	0
Class eg:y		
Total	0	0

Total as a % of Total Voting rights	0	0
No. Of Shares Underlying Outstanding convertible securities (X)	0	0
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in	0	0

dematerialized form (XIV)		
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Searial No.	1	2	3	
Name of the Shareholders (I)	SHALINI MANGAL	DIPTI GIRDHARI MANGAL	YASH GIRDHARILAL MANGAL	Click here to go back
PAN (II)	ABUPN3558P	CPRPM5280E	DOEPM3800P	Total
No. of fully paid up equity shares held (IV)	53000	44143	28900	126043
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	53000	44143	28900	126043
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.65	2.21	1.45	6.3
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	53000	44143	28900	126043
Class eg:y				
Total	53000	44143	28900	126043
Total as a % of Total Voting rights	2.65	2.21	1.45	6.3
No. Of Shares Underlying Outstanding	0	0	0	0

convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.29	0.24	0.16	0.68
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	53000	44143	28900	126043
Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	HUF	Others	Others	Others
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	LINKPOINT BARTER PRIVATE LIMITED .	RITA FINANCE AND LEASING LIMITED	SHREE WORSTEX LIMITED	GIRDHARI LAL MANGAL HUF	Manohar Lal Agarwal	Madhu Sudan Agarwal	Pankaj Agarwal
PAN (II)	AABCL9565F	AAACR0130K	AAACS2977B	AAFHG1589M	AAJPA2860K	AACPA3272C	AADPA8153B
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	114627	49500	41354	61230	0	0	0
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	114627	49500	41354	61230	0	0	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.73	2.48	2.07	3.06	0	0	0

Number of Voting Rights held in each class of securities (IX)							
Class eg: X	114627	49500	41354	61230	0	0	0
Class eg:y							
Total	114627	49500	41354	61230	0	0	0
Total as a % of Total Voting rights	5.73	2.48	2.07	3.06	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	80000	80000	80000
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	600000	600000	600000
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	680000	680000	680000
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.62	0.27	0.22	0.33	3.68	3.68	3.68
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in	114627	49500	41354	61230	0	0	0

dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							

Class eg: X	0	0	0	0	0	0	3155
Class eg:y							
Total	0	0	0	0	0	0	3155
Total as a % of Total Voting rights	0	0	0	0	0	0	0.16
No. Of Shares Underlying Outstanding convertible securities (X)	40000	40000	20000	20000	40000	200000	0
No. of Shares Underlying Outstanding Warrants (Xi)	300000	300000	150000	150000	300000	1500000	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	340000	340000	170000	170000	340000	1700000	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.84	1.84	0.92	0.92	1.84	9.19	0.02
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	0	0	0	0	0	0	3155

Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)

Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	4
No. of fully paid up equity shares held (IV)	3155
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3155
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.16
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	3155
Class eg:y	

Total	3155
Total as a % of Total Voting rights	0.16
No. Of Shares Underlying Outstanding convertible securities (X)	0
No. of Shares Underlying Outstanding Warrants (Xi)	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.02
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	3155
Reason for not providing PAN	
Reason for not	

providing PAN

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence